

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jens Martin Paulsen) Group Art Unit: 1796
)
FILED: April 29, 2005) Examiner: NGUYEN,
) Khanh Tuan
SERIAL NO.: 10/533,496)
) Confirmation No. 7960
FILED: LITHIUM TRANSITION METAL OXIDE WITH)
GRADIENT OF METAL COMPOSITION)

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Refund Section, Accounting Division, Office of Finance

Sir/Madame:

Applicant respectfully requests a refund in the amount of \$40.00 for a fee paid February 25, 2010 to record an Assignment via the Electronic Patent Assignment System (EPAS), where an Assignment fee previously authorized for the same Assignment was debited from Deposit Account 06-1130, but the same Assignment was never recorded, requiring Applicants to resubmit the Assignment via EPAS.

As evidence of the Assignment fee earlier authorized being accepted and debited from Deposit Account 06-1130, but the submitted Assignment never being recorded, please find:

A copy of the Deposit Account/Fee Transaction showing Deposit Account 061130 debited on May 10, 2005 for an Assignment fee of \$40.00 for Attorney Docket No. LEE-0021, attached hereto as Exhibit A.

Application No.: 10/533,496
Request for Refund Submitted: February 25, 2010

A copy of the Post Card Acknowledgement Filing Receipt stamped April 29, 2005, showing receipt of the three (3) pages of Recordation Coversheet and Assignment, attached hereto as Exhibit B.

A copy of the Certificate of Mailing by "Express Mail" including a deposit date of April 29, 2005, and the subject Assignment with Recordation Form Cover Sheet dated April 29, 2005, attached hereto as Exhibit C.

A copy of the USPTO PAIR site display from February 25, 2010 for Application No. 10/533,496, indicating "Assignment data does not exist," as hereto Exhibit D.

Therefore, Applicant respectfully requests a refund in amount of \$40.00 be credited to Deposit Account No. 06-1130 since the Assignment fee earlier authorized was previously accepted and debited from Deposit Account 06-1130, but the submitted Assignment was never recorded.

Respectfully submitted,

CANTOR COLBURN LLP
Applicant's Attorneys

By: /Amy Bizon-Copp/
Amy Bizon-Copp
Registration No. 53,993
CANTOR COLBURN LLP
20 Church Street
22nd Fl.
Hartford, CT 06103
Telephone: 860-286-2929
Customer No. 23413

Date: February 25, 2010

Exhibit 'A'

Deposit Account Statement

Requested Statement Month: May 2005
Deposit Account Number: 061130
Name: CANTOR COLBURN, LLP
Attention: ARTHUR F. DIONNE
Street Address 1: 20 CHURCH ST.
Street Address 2:
City: HARTFOR
State: CT
Zip: 06103
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
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10-May-05		253	10533496LEE-0021		1631	\$300.00
10-May-05		254	10533496LEE-0021		1633	\$200.00
10-May-05		255	10533496LEE-0021		1632	\$500.00
10-May-05		256	10533496LEE-0021		8021	\$40.00

Exhib. 4 ' B'

THE STAMP OF THE PATENT OFFICE, PLACED HEREON,
ACKNOWLEDGES RECEIPT OF:

Attorney Docket No.: LEE-0021

In Re: New U.S. PCT Patent Application

Applicant: Jens Martin PAULSEN, et al.

10/533496

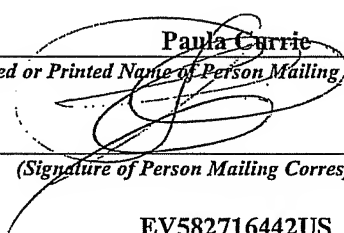
FOR: LITHIUM TRANSITION METAL OXIDE WITH GRADIENT OF METAL
COMPOSITION

PCT Application Transmittal (2 pages), Specification and Abstract (39 pages),
Drawings (12 Sheets), Preliminary Amendment (8 pages), International Search
Report (3 pages), Claim for Priority (1 pg), Declaration and Power of Attorney (3
pages), Recordation Form Cover Sheet and Assignment (3 pages), Limited
Recognition Under 37 CFR Section 11.9(b) (1 page), Certificate of Mailing by
Express Mail (1 page), Authorization to debit and/or credit Deposit Acct. No. 06-
1130 - Filing Fee - \$1,000.00 and Recordation Fee - \$40.00 and Postcard receipt
Mailed to USPTO, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313
on April 29, 2005 via Express Mail Label No. EV582716442US

Received in the USPTO on: _____

JC18 Rec'd PCT/PTO - 29 APR 2005

Exhibit 'C'

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Jens Martin PAULSEN, et al.			Docket No. LEE-0021		
Application No. NYA	Filing Date 4/29/2005	Examiner NYA	Customer No. 23413	Group Art Unit NYA	
Invention: LITHIUM TRANSITION METAL OXIDE WITH GRADIENT OF METAL COMPOSITION					
<p>I hereby certify that the following correspondence:</p> <table border="1"><tr><td>PCT Application Transmittal, Specification and Abstract, Drawings, Preliminary Amendment, International Search Report, Claim for Priority, Declaration and Power of Attorney, Recordation Form Cover Sheet and Assignment, Limited Recognition Under 37 CFR Section 11.9(b), Authorization to debit and/or credit Deposit Acct. No. 06-1130 - Filing Fee - \$ 1,000.00 and Recordation Fee - \$40.00 and Postcard receipt</td></tr></table> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p><u>April 29, 2005</u> (Date)</p> <p><u>Paula Currie</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u></u> (Signature of Person Mailing Correspondence)</p> <p><u>EV582716442US</u> (“Express Mail” Mailing Label Number)</p>					PCT Application Transmittal, Specification and Abstract, Drawings, Preliminary Amendment, International Search Report, Claim for Priority, Declaration and Power of Attorney, Recordation Form Cover Sheet and Assignment, Limited Recognition Under 37 CFR Section 11.9(b), Authorization to debit and/or credit Deposit Acct. No. 06-1130 - Filing Fee - \$ 1,000.00 and Recordation Fee - \$40.00 and Postcard receipt
PCT Application Transmittal, Specification and Abstract, Drawings, Preliminary Amendment, International Search Report, Claim for Priority, Declaration and Power of Attorney, Recordation Form Cover Sheet and Assignment, Limited Recognition Under 37 CFR Section 11.9(b), Authorization to debit and/or credit Deposit Acct. No. 06-1130 - Filing Fee - \$ 1,000.00 and Recordation Fee - \$40.00 and Postcard receipt					
<p>Note: Each paper must have its own certificate of mailing.</p>					

PATENTS ONLY

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Jens Martin PAULSEN, Ki Young LEE, Joon Sung BAE,
Mun Ju KIM**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **LG CHEM, LTD.**

Address: **LG Twin Tower, 20, Yoido-dong, Youngdungpo-gu**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: **Seoul**

State/Prov.: _____

Country: **Republic of Korea**

ZIP: **150-721**

Execution Date: **4/26/2005**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **4/26/2005**

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Soonja BAE**

Registration No. **Please See Attached**

Address: **Cantor Colburn LLP**

55 Griffin Road South

City: **Bloomfield**

State/Prov.: **CT**

Country: **USA**

ZIP: **06002**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Soonja BAE

Name of Person Signing

Signature

April 29, 2005

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

WHEREAS We, Jens Martin PAULSEN of 45 Hayward Road, Ngatea 2852, New Zealand, Ki Young LEE of #12-205 KIT Professors' Apartment 236-2 Gajung-dong, Youseong-gu, Daejeon 305-350, Korea, Joon Sung BAE of #6-402 LG Apartment, Doryong, Youseong-gu, Daejeon 305-340, Korea and Mun Ju KIM of #405-503 Shinjung Shinria Apartment, Shinjung 3-dong, Yangchun-gu, Seoul 158-785, Korea (hereinafter referred to as "ASSIGNORS"); have invented certain new and useful improvements in:

[LITHIUM TRANSITION METAL OXIDE WITH GRADIENT OF METAL COMPOSITION]

which claims priority to New Zealand Patent Application No. 520452, filed 10/31/2002; and for which We are about to file or have filed an application for Letters Patent of the United States;

AND WHEREAS, LG CHEM, LTD. (hereinafter referred to as "ASSIGNEE"), a corporation organized and existing under the laws of the Republic of Korea, having a place of business at LG Twin Tower, 20, Yoido-dong, Youngdungpo-gu, Seoul 150-721, Republic of Korea is desirous of acquiring an interest in the United States and all foreign countries, in and to the said invention and Letters Patent to be obtained therefor;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for good and valuable consideration, the receipt of which is hereby acknowledged, We, the said ASSIGNORS have assigned and transferred, and hereby assign and transfer unto the said ASSIGNEE, the entire right, title and interest in and to said invention in the United States and in all foreign countries, including priority rights, as fully set forth and described in said application; and We do hereby authorize and request the Commissioner of Patents to issue said Letters Patent on said application, and any and all Letters Patent that may be issued upon any and all revivals, re filings, continuations, continuations-in-part, divisions and reissues thereof, to the said ASSIGNEE, an assignee of the entire right, title and interest in and to the same, for the sole use and behoof of ASSIGNEE, its successors and assigns; and We do hereby agree that the said ASSIGNEE, may apply for foreign Letters Patent on said invention and that We will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by the said ASSIGNEE, its successors or assigns, and that We will, at the cost and expense of the said ASSIGNEE fully assist and cooperate in all matters in connection with the United States and foreign applications and patents issuing thereon.

The undersigned declare that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made



are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 26/04/2005

[Signature] L.S.
[Jens Martin PAULSEN]

Date: 26/04/2005

[Signature] L.S.
[Ki Young LEE]

Date: 26/04/2005

[Signature] L.S.
[Joon Sung BAE]

Date: 26/04/2005

[Signature] L.S.
[Mun Ju KIM]



10/533,496	LITHIUM TRANSITION METAL OXIDE WITH GRADIENT OF METAL COMPOSITION	02-25-2010::07:46:36
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Assignments data does not exist

For Application Number: 10533496

Search Results as of: 02/25/2010 07:46:23 AM

Disclaimer:

Assignment information on the assignment database reflects assignment documents that have been actually recorded. If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different.

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 571-272-3350

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